



DTCC

DTCC CONSULTING SERVICES

FAST TRACK YOUR CFTC REWRITE READINESS TODAY!

Our CFTC Test Pack can help you prepare for the upcoming revised CFTC reporting requirements

The Commodity Futures Trading Commission's rewrite of their trade reporting rules (CFTC Rewrite) is the most significant amendment to reporting rules in the US since over-the-counter (OTC) derivatives reporting was first implemented by the CFTC under the Dodd-Frank Act in 2012. Scheduled for implementation on December 5, 2022, key changes include updated data submission requirements, timing of reporting and data verification requirements. This will likely create challenges for firms as they ready their systems for compliance with the new rules.

Evaluating, updating, and testing your firm's infrastructure to ensure compliance with the CFTC's revised mandates can be costly, complex, and time consuming. With this in mind, we designed our CFTC Test Pack to empower you with the tools you need to verify your firm's system readiness for the new rules.

Firms can use the CFTC Test Pack to manually book trades in their own trade capture systems and compare the outcome to expected results. It includes front to back reporting workflows from internal trade booking to report generation to reconciliation against our Global Trade Repository service (GTR) reports. The test pack is structured to cater to key testing requirements while it retains flexibility, allowing you to determine the depth of your testing based on your volumes, product coverage, and scope. Plus, our CFTC Test Pack has been reviewed by a group of top global banks.

Our Expertise. Your Organization.

For over 45 years, our clients have trusted us to solve some of the biggest issues facing the global financial services industry. This unique vantage point has enabled us, through DTCC's family of companies, to develop techniques and tools that can help firms drive innovation and transformation.

TALK TO US TODAY

to learn more about our CFTC Test Pack or to schedule a complimentary evaluation with our experts to help you devise a strategy for CFTC reporting implementation.



CFTC TEST PACK INCLUDES

- A set of key scenarios across 5 asset classes, with test scripts and expected reporting outcomes.
- Trade state reporting, public-price dissemination (PPD) scenarios, lifecycle event coverage and common negative tests.
- Wide product coverage with accompanying test data to compare against report submissions.

EASY TO USE

The CFTC Test Pack allows you to:

- Manually book trades within your firm's own environment leveraging the key economic data provided in the test steps and submit to a trade repository.
- Compare the output from your system's user-acceptance testing reporting submissions to the detailed test data provided in the CFTC Test Pack.
- Update specific products, events, or more granular details within the test scenarios to make them more applicable to your firm.
- Rely on the CFTC Test Pack to be updated to align with the latest technical specification changes, either from the CFTC or DTCC.



CFTC TEST PACK TESTING CATEGORIES



Product Tests



Event Tests



Negative Tests



Public Price
Dissemination (PPD)
Tests

ADDITIONAL SERVICES

DTCC Consulting Services experts can help with a range of services to help you prepare for the CFTC Rewrite, as well as other regulatory regime changes across the globe. These services include, but are not limited to: impact analysis, requirements documentation and rule interpretation, control framework health check, accuracy checks, test case development, test strategy, test execution and program management.

FOR MORE INFORMATION

on DTCC Consulting Services, visit [dtcc.com/consulting](https://www.dtcc.com/consulting).



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